

	U.S. ENVIRONMENTAL PROTECTION AGENCY Grant Agreement	GRANT NUMBER (FAIN): 98T16101 MODIFICATION NUMBER: 0 PROGRAM CODE: TA		DATE OF AWARD 04/01/2021
		TYPE OF ACTION New		MAILING DATE 04/08/2021
		PAYMENT METHOD: ASAP		ACH# 90049
		RECIPIENT TYPE: Special District		
RECIPIENT: BAAQMD - Bay Area AQMD 375 Beale St., Suite 600 San Francisco, CA 94105 EIN: 94-1622746		PAYEE: Bay Area AQMD 375 Beale St., Suite 600 San Francisco, CA 94105		
PROJECT MANAGER Karen Schkolnick 375 Beale Street, Suite 600 San Francisco, CA 94105 E-Mail: kschkolnick@baaqmd.gov Phone: 415-749-4900		EPA PROJECT OFFICER Asia Yeary 75 Hawthorne Street, AIR-1-1 San Francisco 94105 E-Mail: yeary.asia@epa.gov Phone: 808-541-2726		EPA GRANT SPECIALIST Veronica Adams Grants Branch, MSD-6 E-Mail: adams.veronica@epa.gov Phone: 415-972-3677
PROJECT TITLE AND DESCRIPTION Targeted Air Shed - Heat Pump Project This project will reduce Particulate Matter (PM) emissions by replacing residential wood-burning devices with electric heat pumps in the San Francisco Bay Area air basin, prioritizing funding for the most highly impacted areas, Environmental Justice communities, and low-income residents. This cooperative agreement provides full federal funding in the amount of \$2,120,345.00. Pre-award costs have been approved back to March 1, 2021. Note: The header of this award caption indicates Grant Agreement whereas this is a Cooperative Agreement (refer to Programmatic Terms and Conditions).				
BUDGET PERIOD 03/01/2021 - 02/28/2026	PROJECT PERIOD 03/01/2021 - 02/28/2026	TOTAL BUDGET PERIOD COST \$2,326,119.00	TOTAL PROJECT PERIOD COST \$2,326,119.00	
NOTICE OF AWARD Based on your Application dated 04/10/2020 including all modifications and amendments, the United States acting by and through the US Environmental Protection Agency (EPA) hereby awards \$2,120,345 EPA agrees to cost-share 91.16 of all approved budget period costs incurred, up to and not exceeding total federal funding of \$2,120,345.00. Recipient's signature is not required on this agreement. The recipient demonstrates its commitment to carry out this award by either: 1) drawing down funds within 21 days after the EPA award or amendment mailing date; or 2) not filing a notice of disagreement with the award terms and conditions within 21 days after the EPA award or amendment mailing date. If the recipient disagrees with the terms and conditions specified in this award, the authorized representative of the recipient must furnish a notice of disagreement to the EPA Award Official within 21 days after the EPA award or amendment mailing date. In case of disagreement, and until the disagreement is resolved, the recipient should not draw down on the funds provided by this award/amendment, and any costs incurred by the recipient are at its own risk. This agreement is subject to applicable EPA regulatory and statutory provisions, all terms and conditions of this agreement and any attachments.				
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)		AWARD APPROVAL OFFICE		
ORGANIZATION / ADDRESS U.S. EPA, Region 9 Grants Branch, MSD-6 75 Hawthorne Street San Francisco, CA 94105		ORGANIZATION / ADDRESS EPA R9, Air Division, AIR-1 R9 - Region 9 75 Hawthorne Street San Francisco, null 94105		
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY				
Digital signature applied by EPA Award Official Carolyn Truong - Grants Management Officer				DATE 04/01/2021

Budget Summary Page

Table A - Object Class Category (Non-Construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$105,194
2. Fringe Benefits	\$67,292
3. Travel	\$0
4. Equipment	\$0
5. Supplies	\$0
6. Contractual	\$14,037
7. Construction	\$0
8. Other	\$2,069,000
9. Total Direct Charges	\$2,255,523
10. Indirect Costs: 67.11 % Base	\$70,596
11. Total (Share: Recipient <u>8.84</u> % Federal <u>91.16</u> %)	\$2,326,119
12. Total Approved Assistance Amount	\$2,120,345
13. Program Income	\$0
14. Total EPA Amount Awarded This Action	\$2,120,345
15. Total EPA Amount Awarded To Date	\$2,120,345

Administrative Conditions

General Terms and Conditions

The recipient agrees to comply with the current EPA general terms and conditions available at: <https://www.epa.gov/grants/epa-general-terms-and-conditions-effective-november-12-2020-or-later>.

These terms and conditions are in addition to the assurances and certifications made as a part of the award and the terms, conditions, or restrictions cited throughout the award.

The EPA repository for the general terms and conditions by year can be found at: <https://www.epa.gov/grants/grant-terms-and-conditions#general>.

A. Federal Financial Reporting (FFR)

For awards with cumulative project and budget periods greater than 12 months, the recipient will submit an annual FFR (SF 425) covering the period from "project/budget period start date" to **September 30** of each calendar year to the EPA Finance Center in Research Triangle Park, NC. The annual FFR will be submitted electronically to rtpfc-grants@epa.gov no later than **December 30** of the same calendar year. (NOTE: The grantee must submit the Final FFR to rtpfc-grants@epa.gov within 120 days after the end of the project period.)

B. Procurement

The recipient will ensure all procurement transactions will be conducted in a manner providing full and open competition consistent with 2 CFR Part 200.319. In accordance 2 CFR Part 200.324 the grantee and subgrantee(s) must perform a cost or price analysis in connection with applicable procurement actions, including contract modifications.

State recipients must follow procurement procedures as outlined in 2 CFR Part 200.317.

C. MBE/WBE Reporting, 40 CFR, Part 33, Subpart E (EPA Form 5700-52A)

The recipient agrees to submit a "MBE/WBE Utilization Under Federal Grants and Cooperative Agreements" report (EPA Form 5700-52A) annually for the duration of the project period. The current EPA Form 5700-52A with instructions can be found at <https://www.epa.gov/grants/epa-form-5700-52a-united-states-environmental-protection-agency-minority-business>

This provision represents an approved exception from the MBE/WBE reporting requirements as described in 40 CFR Section 33.502.

Reporting is required for assistance agreements where funds are budgeted for procuring construction, equipment, services and supplies (including funds budgeted for direct procurement by the recipient or procurement under subawards or loans in the "Other" category) with a cumulative total that exceed the Simplified Acquisition Threshold (SAT) currently, set at \$250,000 (the dollar threshold will be automatically revised whenever the SAT is adjusted; See 2 CFR Section 200.1), including amendments and/or modifications.

When reporting is required, all procurement actions are reportable, not just the portion which exceeds the SAT.

Recipients with expended and/or budgeted funds for procurement are required to report annually whether the planned procurements take place during the reporting period or not. If no budgeted procurements take place during the reporting period, the recipient should check the box in section 5B when completing the form.

When completing the annual report, recipients are instructed to check the box titled "annual" in section 1B of the form. For the final report, recipients are instructed to check the box indicated for the "last report" of the project in section 1B of the form. For section 2B, the Region 9 EPA DBA Coordinator is Fareed Ali, email: GrantsRegion9@epa.gov, phone: 415-972-3665.

The annual reports are due by October 30th of each calendar year and the final report is due within 120 days after the end of the project period, whichever comes first. The recipient will submit the MBE/WBE report(s) and/or questions to GrantsRegion9@epa.gov and the EPA Grants Specialist identified on page 1 of the award document.

D. Recipient Contribution (Cost Share)

This condition reaffirms the cost share percentage requirement under the Budget Summary section of this assistance agreement. The recipient agrees to cost share 8.84% of total project cost for this agreement.

Programmatic Conditions

Refer to **Attachment A** for the 2020 Targeted Air Shed programmatic terms and conditions.

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